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64181 U.S. PTO
08/932543
09/17/97

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JOSEPH M. POTENZA

September 17, 1997

Suzuye & Suzuye
Kasumigaseki Ube Bldg.
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Chiyoda-ku, Tokyo 100 JAPAN

VIA FACSIMILE

Re: New U.S. Patent Application
Entitled: **ACCESS CONTROL METHOD AND INFORMATION
UTILIZED APPARATUS**
Your Ref: T3TYA-97S0480
Our Ref: 1701.39203

Dear Sirs:

We are pleased to report that the above-identified patent application was filed September 17, 1997 with the U.S. Patent and Trademark Office. We will keep you informed of further developments.

Sincerely,

Joseph M. Potenza
Joseph M. Potenza

JMP/ts

08/932543 09/17/97

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NEW UNITED STATES PATENT APPLICATION

Assistant Commissioner of Patents
Washington, D.C. 20231

Attorney Docket No. 01701.39203

Sir:

Enclosed herewith for filing is a patent application entitled ACCESS CONTROL METHOD AND INFORMATION UTILIZATION APPARATUS by the following named inventor(s).

Full Name of Inventor	Family Name KAWAKURA	First Given Name Yasushi	Second Given Name
Residence & Citizenship	City Pittsburgh	State or Foreign Country Pennsylvania	Country of Citizenship Japan
Post Office Address	Post Office Address c/o Intellectual Property Division KABUSHIKI KAISHA TOSHIBA 1-1 Shibaura 1-chome, Minato-ku	City Tokyo 105	State & Zip Code/Country Japan
Full Name of Inventor	Family Name KIMURA	First Given Name Tetsuro	Second Given Name
Residence & Citizenship	City Tokyo	State or Foreign Country Japan	Country of Citizenship Japan
Post Office Address	Post Office Address c/o Intellectual Property Division KABUSHIKI KAISHA TOSHIBA 1-1 Shibaura 1-chome, Minato-ku	City Tokyo 105	State & Zip Code/Country Japan
Full Name of Inventor	Family Name SUZUOKA	First Given Name Takashi	Second Given Name
Residence & Citizenship	City Kawasaki-shi	State or Foreign Country Japan	Country of Citizenship Japan
Post Office Address	Post Office Address c/o Intellectual Property Division KABUSHIKI KAISHA TOSHIBA 1-1 Shibaura 1-chome, Minato-ku	City Tokyo 105	State & Zip Code/Country Japan

1. ☒ Specification 53 pages / 16 pages claims / 1 page Abstract

2. Declaration/Power of Attorney is:

- ☒ attached in the regular manner.
☐ NOT included, but deferred under P.L. 97-247.

3. ☒ 16 Sheets of ☒ Formal ☐ Informal Drawings (Fig 1-18)
4. ☐ Preliminary Amendment which is to be considered a part of the disclosure as to any change in the executed specification and thus outside any proscription under 37 C.F.R. 1.118.
5. ☒ Preliminary submission of an Information Disclosure Statement which is to be considered a part of the disclosure as to any change in the executed specification and thus outside any proscription under 37 C.F.R. 1.118.
6. ☒ An Assignment of the invention in favor of the following organization is enclosed for recordation:

KABUSHIKI KAISHA TOSHIBA

7. ☒ Priority is hereby claimed under 35 U.S.C. § 119 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)
Japan	8-246719	18 September 1996

8. ☒ Priority document(s) is attached hereto.
9. ☐ A Verified Statement Claiming Small Entity Status is attached hereto.
10. Calculation of Fees:

FEES FOR	NUMBER EXTRA	RATE	CALCULATIONS
Basic Filing Fee			\$770.00
Total Claims in Excess of 20	0.00	22.00	0.00
Independent Claims in Excess of 3	12	80.00	960.00
Multiple Dependent Claims (if applicable)	0.00	260.00	0.00
Subtotal - Filing Fee Due			1,730.00
Reduction by 50%, if Small Entity (Note 37 C.F.R. § 1.9, 1.27, 1.28)		0.00	0.00
Total Filing Fee Due			1,730.00
Assignment Recordation Fee (if applicable)	1	40.00	40.00
GRAND TOTAL DUE			1,770.00

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11. PAYMENT is:

- ☒ included in the amount of the GRAND TOTAL by our enclosed check. Authorization is hereby given to credit or debit our Deposit Account No. 19-0733 for any overpayment or deficiencies associated with the instant filing.
- ☐ not included, but deferred.

12. All correspondence for the attached application should be directed to:

Joseph M. Potenza, Esq.
Banner & Witcoff, Ltd.
1001 G Street, N.W.
Washington, D. C. 20001-4597
Telephone: (202) 508-9100

Date: September 17, 1997

By:

 #34725
Joseph M. Potenza
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